

PTO/SB/06A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	09/220,920
				Filing Date	12/24/1998
				First Named Inventor	Milbrandt
				Art Unit	1646
				Examiner Name	Murphy, Joseph F.
Sheet	1	of	1	Attorney Docket Number	56029-7996

## U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 6,593,133 B1	July 15, 2003	Johansen et al.	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

Examiner Signature	Date Considered
-----------------------	--------------------

<sup>1</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>2</sup> Applicant's unique citation designation number (optional). <sup>3</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>4</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>5</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>6</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

ASSISTANT COMMISSIONER FOR PATENTS  
KINDLY ACKNOWLEDGE RECEIPT BY DATE

AMPPING AND RETURNING.

Applicant(s): Milbrandt  
Title: Antennin A Neurotrophic  
Factor

PCT/US #: 09/270,920  
Filed: 12-24-03  
Att/Client/Matter No.: 56029  
3067#56029#07996

- ☒ Transmittal Letter(s)  
☐ Specification: \_\_\_\_\_ Pages  
☐ Number of Claims: \_\_\_\_\_ Pages  
☐ Abstract: \_\_\_\_\_ Pages  
☐ Drawings: \_\_\_\_\_ Sheet(s) \_\_\_\_\_ Formal \_\_\_\_\_ Informal  
☐ Declaration/POA \_\_\_\_\_ signed \_\_\_\_\_ unsigned  
☐ Assignment  
☒ Amendment/Response  
☐ Petition under 37 CFR 1.138(a)  
☐ CPA Transmittal  
☒ Information Disclosure Statement w/Ref.  
☐ Issue Fee Payment  
☐ Request  
☐ Demand  
☒ Fee Calculation Sheet  
☐ Notice of Appeal ☐ Appeal Brief  
☐ Statement Under 3.73 (b)  
☐ Other

- ☐ Small Entity Declaration  
☒ Fee of \$ 120 Paid by  
☒ Deposit Acct. No. 20-0823  
☐ Check No. \_\_\_\_\_

Date Mailed: 1-20-04

Express Mail No.: \_\_\_\_\_

